

MPLS OAM Technology White Paper

Issue 01
Date 2012-10-30

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About This Document

Abstract:

OAM plays a very important role in the public telecom network, especially for the QoS-guaranteed network. OAM can simplify network operations, test network performance, and reduce network operation costs. As the key bearer technology of the scalable next-generation network, MPLS can provide multiple services with guaranteed QoS. Therefore, the MPLS network urgently requires OAM capabilities. This document describes the technical principles, implementation, and typical applications of MPLS OAM.

Keywords:

MPLS, OAM, PS, CV, FFD, FDI, BDI

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1 MPLS OAM Overview

MPLS OAM technology provides the MPLS network with a defect-detection tool and a defect-rectification mechanism that are independent of any Layer 3 or Layer 2 protocols. The check function of the CR-LSP forwarding plane is implemented through MPLS OAM and protection switching. The protection switching is performed 50 ms after a defect occurs so that the impact of the defect is minimized. This document describes the background and working principles of MPLS OAM. The intended audience needs to know MPLS and MPLS OAM.

The server-layer that bears MPLS, such as SONET/SDH, and the client-layer that uses MPLS, such as IP, FR, and ATM, have their respective OAM mechanisms. Failures of the MPLS layer network cannot be solved thoroughly through the OAM mechanism of other layers. In addition, the network technology hierarchy also requires MPLS to have its independent OAM mechanism to decrease the dependency of layers on each other.

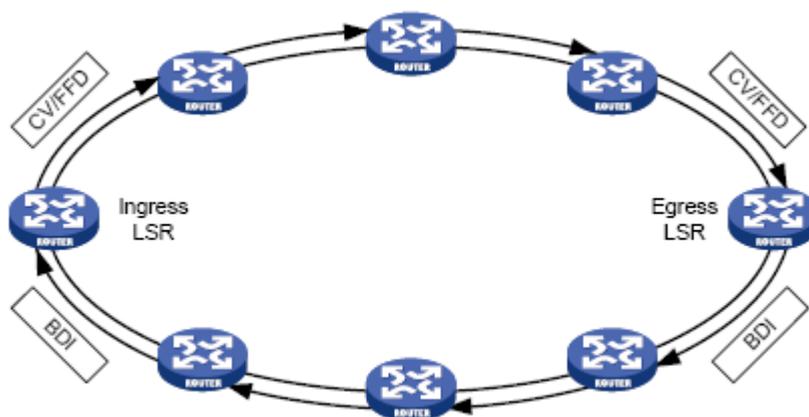
In fact, MPLS OAM provides a set of check mechanisms for the MPLS user layer. MPLS OAM is independent of other network layer and provides the state information of LSP for users, abundant LSP diagnosis interfaces for network management and maintenance personnel, and references for network performance detection and user accounting. While providing a check tool, MPLS OAM has a complete protection switching mechanism and can switch user data within 50 ms after the MPLS layer detects defects, which minimizes the loss of user data.

2 Technology Overview

2.1 MPLS OAM Overview

MPLS OAM checks the connectivity of a single LSP from the source end to the destination end. As shown in Figure 2-1, the ingress node periodically sends an OAM continuity check (CV) packet and the egress node checks it periodically. The transit node makes pass-through processing. When the destination end detects a defect, it sends Backward Defect Indication (BDI) packets to the LSP source node through the bound return path to fulfill protection switching.

Figure 2-1 Networking diagram of MPLS OAM connectivity detection



An OAM packet can be considered as an MPLS data packet, but the OAM packet carries control information. The OAM packet is encapsulated as an MPLS packet on the ingress node. That is, the outer label of the packet is the out-label of the LSP on this node. The inner label is set to 14 (OAM Route alert label). The other bits are the payload of the OAM protocol packet, as shown in Figure 2-2. When the egress node detects a defect, the egress node notifies the ingress node using a notification packet. In this way, the source and destination nodes of the LSP learn about the current status of the LSP to provide the client-layer with status information of the entire LSP. The status information helps determine whether to trigger protection switching.

Figure 2-2 Unidirectional check principle

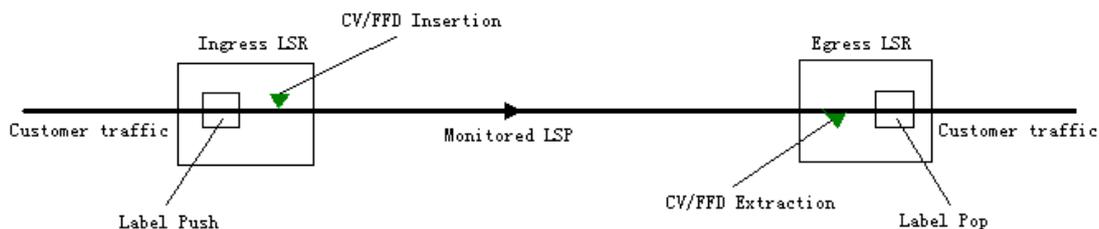
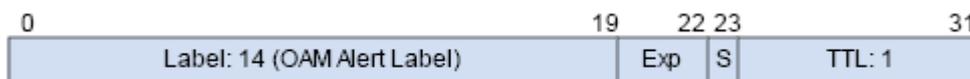


Figure 2-3 shows the format of an MPLS OAM packet header.

Figure 2-3 Format of an OAM packet header



MPLS OAM packets are differentiated from common user plane traffic by an increase of 1 in the label stack at a given LSP level at which they are inserted. Compared with a common MPLS packet, an OAM packet header has the following characteristics:

- The value 14 in the Label field indicates an OAM packet.
- The TTL field is set to 1 to ensure that OAM packets are transmitted to the LSR that is the LSP egress monitored by OAM.

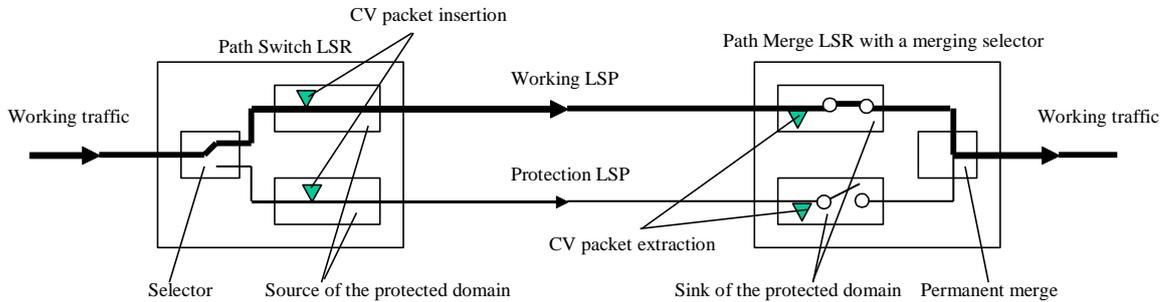
An OAM packet is transparent to the transit LSR and the penultimate LSR. MPLS OAM applies mainly to ER LSPs, which refer to TE tunnels.

2.2 Protection Switching Overview

Protection switching is a protection mechanism for complete provisioning and can be used on any topology. The route and bandwidth of the protection LSP are reserved for the working LSP. When the working LSP is likely to become faulty, protection must be implemented in all cases. Therefore, the protection LSP must be a completely different physical channel in fact. Only in this way can common faults be rectified. In addition, the working LSP cannot transmit packets along the shortest path.

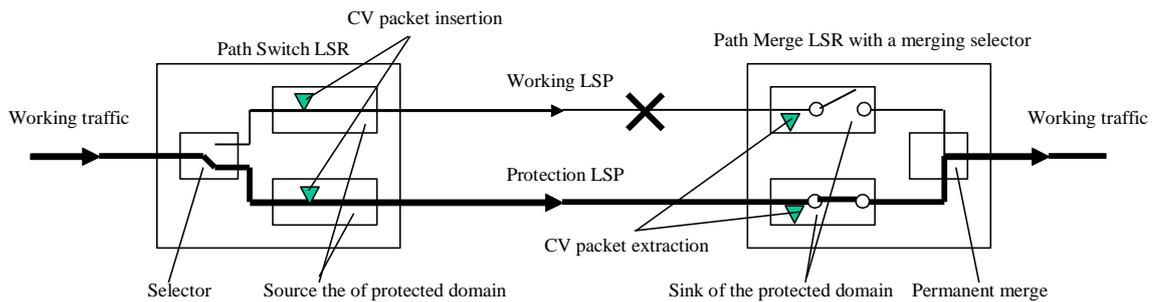
2.2.1 1:1 Protection Switching

Figure 2-4 Traffic when links are working properly



The 1:1 switching mode indicates that a protection LSP is used to take the place of a working LSP when the working LSP fails. Working and protection LSPs are established on the ingress node. When the links are working properly, the traffic is relayed to the working LSP through the selector on the ingress. The protection LSP does not have the traffic that is transmitted on the working LSP.

Figure 2-5 Traffic when the working LSP is faulty

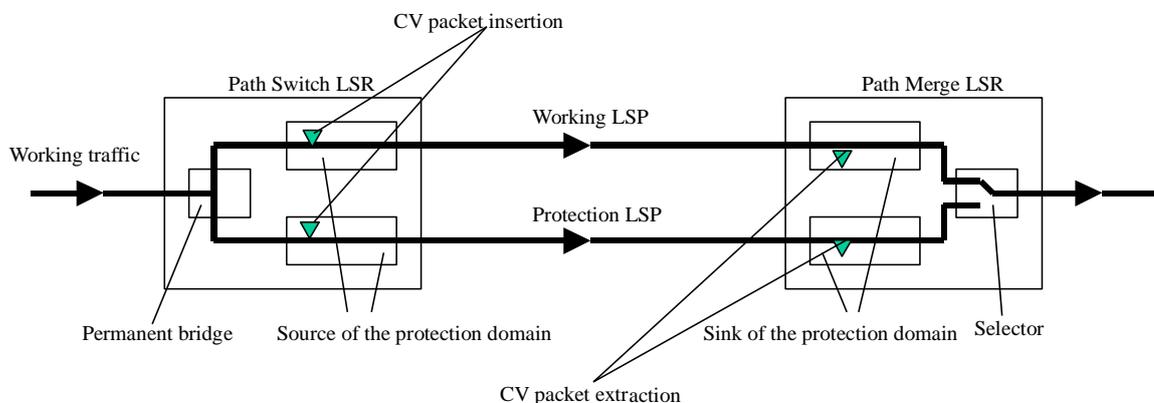


After the egress node finds that the link on the working LSP has failed, user data is switched to the protection LSP. The egress node sends BDI packets to the ingress node through the backward path to request the ingress node to perform the switching.

After the ingress node receives the BDI packets, it relays the selector to the protection LSP and switches the traffic from the working LSP to the protection LSP. In this way, 1:1 protection is implemented.

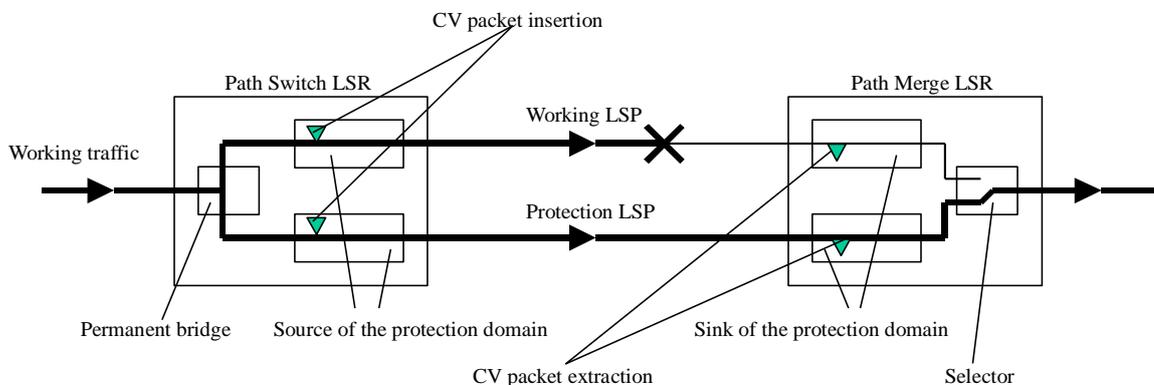
2.2.2 1+1 Protection Switching

Figure 2-6 Traffic when links are working properly



In the 1+1 architecture, a protection LSP is dedicated to a working LSP. On the source end of the protection domain, user data is transmitted to the destination end of the protection domain on both the working and protection LSPs. The destination end selects one from the working and protection LSPs to receive the user data. In this way, the user services are transmitted on both the working and protection LSPs. Whether the destination end selects the working LSP or protection LSP to receive data depends on the protection mechanism.

Figure 2-7 Traffic when the working LSP is faulty



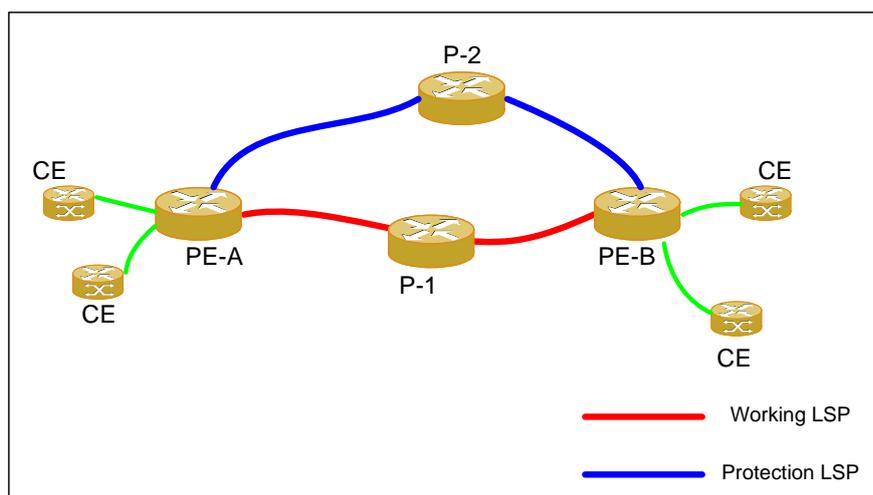
After the MPLS OAM check tool finds that the working LSP has failed, the destination end switches the path for receiving user data from the working LSP to the protection LSP. In this way, 1+1 protection switching is implemented. The source end does not perform any switching in this case.

3 Key Technology

3.1 Example

The following example illustrates the working principle and process of MPLS OAM.

Figure 3-1 Working principle of MPLS OAM



The red TE LSP (PEA→P1→PEB) in Figure 3-1 indicates the working LSP. To protect the working LSP, an LSP (PEA→P2→PEB) with the same source and destination ends needs to be established, which is indicated in green. 1:1 protection switching is used. The OAM check function is enabled on the working LSP (red). On PEB, the backward LSP of the working LSP is specified. The backward LSP is established in advance and can have the same path as the protection LSP but in the opposite direction.

The working LSP sends connectivity verification (CV) packets at a fixed interval of 1s on PEA. The destination end specifies a slide window of 1s. The destination end monitors and statistically analyzes CV packet correctness within 3s. This is the criteria for determining the LSP status.

A CV packet is a low-rate check packet and cannot meet the requirements for fast switching in some important scenarios. Therefore, it is recommended to use the fast failure detection (FFD) packets with a higher transmission rate instead of CV packets. The working LSP sends FFD packets periodically at a fixed rate on PEA. The destination end monitors and statistically analyzes FFD packet correctness within a period three times the check interval. This is the criteria for determining the LSP status. The FFD packets have the same functions with CV packets, but have an adjustable rate and a shorter check interval.

After the destination end detects a defect on PEB, the destination end sends BDI packets to the source end through the backward path. The backward path must be an LSP. In this example, the backward path is from PEB to P2 and then to PEA. After the source end receives the BDI packets, it locates the working LSP according to the packet information and performs the switching according to the corresponding information about the protective group. That is, the user data is switched to the protection LSP for transmission.

3.2 Technology

The preceding example illustrates the following key technologies of MPLS OAM.

3.2.1 CV Monitoring

The LSP source node sends CV packets a fixed interval of 1s. The destination end monitors and statistically analyzes CV packet correctness within a period three times the check interval. This is the criteria for determining the LSP status. A CV packet is usually used for the availability detection of a common LSP because the generation rate is low and cannot be adjusted. Figure 3-2 shows the format of a CV packet. The Function Type field is fixed at 0x01.

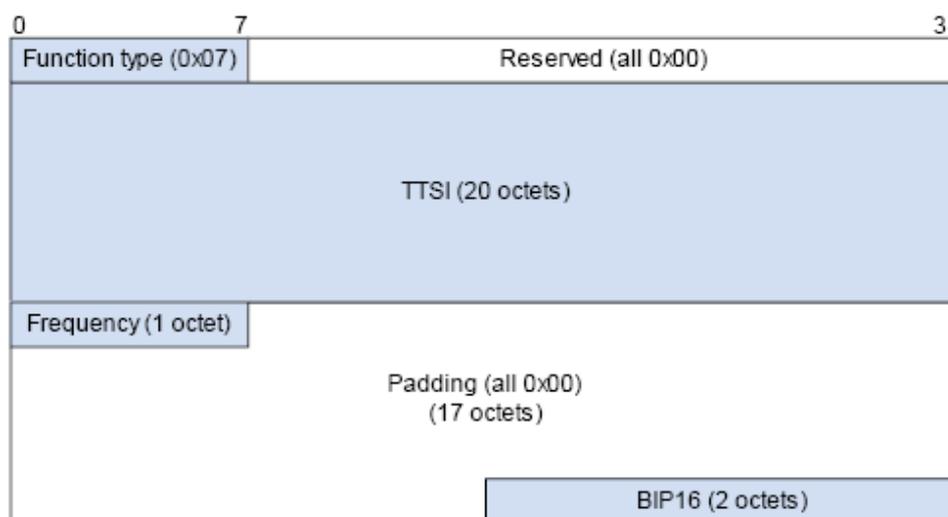
Figure 3-2 Format of a CV packet



3.2.2 FFD Monitoring

FFD provides the detection mechanism for the LSP of the P2P type. The LSP source node periodically sends FFD packets at a configurable rate of 10 ms, 20 ms, 50 ms, 100 ms, 200 ms, or 500 ms. The destination end monitors and statistically analyzes FFD packet correctness within a period three times the check interval. This is the criteria for determining the LSP status. The FFD packets have the same functions with CV packets, but have an adjustable rate and a shorter check interval. Therefore, FFD packets apply to the check of an LSP in Server state. Figure 3-3 shows the format of an FFD packet.

Figure 3-3 Format of an FFD packet



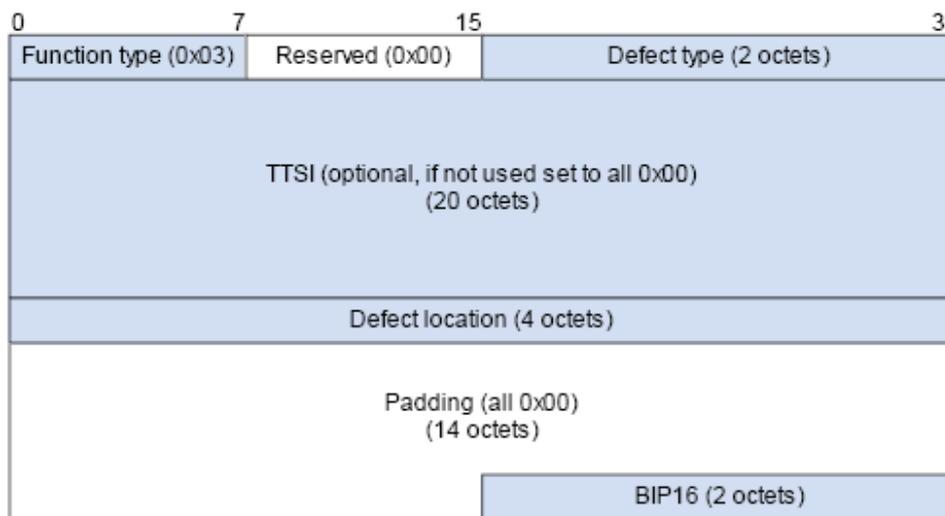
In an FFD packet, the Function Type field is set to 0x07. Compared with a CV packet, an FFD packet has one more field (Frequency) that supports multiple sending intervals.

3.2.3 Backward Defect Indication (BDI)

After the LSP destination end detects a defect, it sends BDI packets to the source node backwards. The backward path must be an LSP. The received BDI packets are used to notify the source node of the LSP failure.

Figure 3-4 shows the format of a BDI packet. The Function Type field is set to 0x03. The Defect type and Defect location fields exist in a BDI packet.

Figure 3-4 Format of a BDI packet



The TTSI field in a BDI packet is optional. The TTSI is necessary when a shared backward tunnel or out-of-band backward tunnel is used. For a bidirectional LSP, this field can be filled with 0. If the TTSI is used, the value of the field is the TTSI of the LSP. For details, see TTSI index mode.

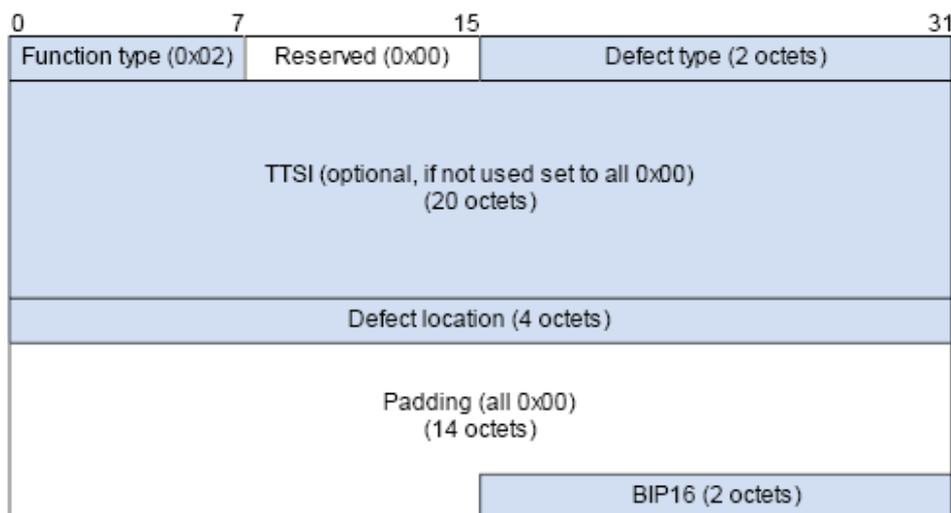
If the FDI packet reported by the lower level LSP is received, the Defect type and the Defect location fields are generated according to the corresponding fields of the FDI packet.

3.2.4 Forward Defect Indication (FDI)

A forward defect indication (FDI) packet is generated by the LSR detecting defects. An FDI packet is a response to the defects detected by a CV or FFD packet. For the lower level LSP:

- The source end of the LSP uses a FDI packet to inform the destination end of the defect.
- When the auto protocol is enabled, the source end of the LSP requests the destination end to stop OAM detection.

Figure 3-5 shows the format of an FDI packet. The Function Type field is set to 0x02. The Defect type and Defect location fields exist in an FDI packet.

Figure 3-5 Format of an FFI packet

The node that first detects a defect sends an FDI packet to the downstream node. The TTSI field in the FDI packet is optional. It is used when the penultimate hop popping (PHP) is applied. In other cases, the TTSI is not used and is set to 0. For details, see TTSI index mode section 3.2.6 "Binding the Backward Path."

The FDI packet is reported to one higher level after another. When a higher level LSP detects defects by its own CV or FFD packet, the LSP also receives the FDI packet reported by the lower level LSP. Therefore, it can be concluded that the defect occurs at the lower level.

The protocol does not include the MPLS OAM notification mechanism. When the MPLS OAM function on the source end is disabled, the destination end still monitors defects at a fixed interval. As a result, the check results are incorrect, subsequent switching is unnecessary, and data forwarding is affected. To solve this problem, an error code is added to the FDI packet, which is used to request the destination end to stop MPLS OAM check.

3.2.5 Auto Negotiation Mechanism (First-Packet Triggering, Auto-Sensing)

MPLS OAM is implemented based on the successful establishment of LSPs. When the destination end just starts using OAM, the OAM mechanism cannot differentiate the following situations:

1. OAM is disabled on the source end so that CV or FFV packets cannot be sent.
2. The physical link is disconnected.

In either situation, the destination end does not detect any CV or FFD packets. Then the mechanism of first-packet triggering is proposed. First-packet triggering indicates that the LSP destination end enables the MPLS OAM check function only after receiving a CV or FFD packet for the first time. In a specified period (configurable) when the destination end does not receive any CV or FFD packets before the check function is enabled, why the OAM state machine cannot work properly is unknown. The network management system is requested to query the status. The first-packet triggering mechanism is different from the common OAM check. The first-packet triggering function applies only to the destination end.

If the CV/FFD type or update frequency configured on the source and destination nodes do not match, the OAM check function is invalid. To avoid this problem, do not configure the OAM function on the destination end. The first CV or FFD packet received by the destination end serves as the basis for subsequent packet check, which implements auto-sensing.

3.2.6 Binding the Backward Path

To send BDI packets, the destination end must use the backward LSP. Manual binding of the backward path must be supported. That is, a TE tunnel is bound to another monitored TE tunnel and functions as the backward path of the monitored TE tunnel. The backward path and the checked LSP have the opposite source nodes and destination nodes. The following describes two types of backward paths that transmit BDI packets:

Exclusive Backward LSP

For exclusive backward LSPs, each forward LSP has its independent backward LSP. This method is relatively stable; however, it may lead to the resource waste.

Shared Backward LSP

For a shared backward LSP, multiple forward LSPs share a backward LSP. The BDI packets returned by all the LSPs are transmitted along this LSP. This method reduces resource waste. However, when multiple forward LSPs have defects at the same time, the backward LSP may be congested.

The protocol does not include the reliability check and guarantee mechanisms of backward LSPs. By default, backward LSPs are considered to be reliable. In practice, the security and reliability of backward LSPs must be taken into consideration in advance and always be ensured.

Index Mode

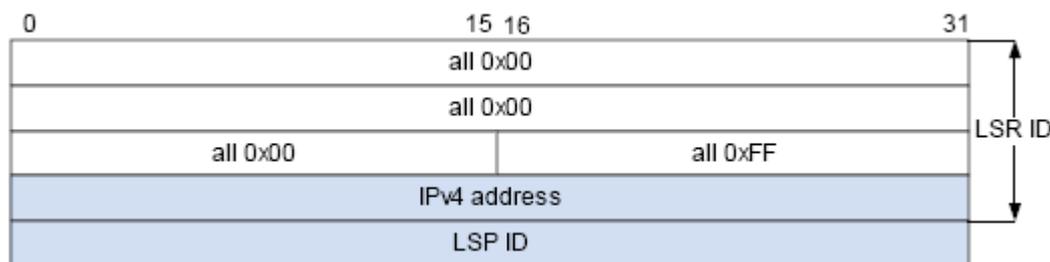
The index mode refers to how to locate a packet destined for the corresponding LSP after the destination end receives a CV/FFD/FDI packet or the source end receives a BDI packet. The following index modes are available:

- **Label index mode**

After receiving a CV/FFD/FDI packet, the destination end locates the OAM packet destined for the corresponding LSP according to the outer label. The LSP assignment label, however, must be in non-PHP mode. Similarly, after receiving a BDI packet, the source end locates the packet destined for the corresponding monitored LSP according to the outer label of the backward LSP. Each label is unique and can be located to a corresponding monitored LSP. Therefore, when the label index mode is applied to a BDI backward path, the backward path is also referred to as an exclusive backward path.
- **TTSI index mode**

After receiving a CV/FFD packet, the destination end locates the OAM packet to the corresponding LSP according to the Trail Termination Source Identifier (TTSI) field in the packet. The TTSI field exists in each OAM packet and is used to locate an LSP on the LSR. Each LSP has a unique TTSI.

The TTSI consists of LSR ID and LSP ID. For details, see the following figure.

Figure 3-6 TTSI frame format

In TTSI index mode, the corresponding LSP is located according to the TTSI rather than the outer label. The advantages of this mode are as follows:

- In PHP mode, a received OAM packet can be located using the TTSI even if there is no outer label.
- For the exclusive backward path in label mode, the TTSI index mode enables a backward LSP to function as the backward path of multiple LSPs with the same source and destination nodes. This is also referred to as a shared backward path.

3.3 Terms

dLOCV

dLOCV is a type of LSP defect that indicates that the destination end does not receive any CV or FFD packets within a period three times the interval for sending CV or FFD packets. That is, the LSP forwarding link fails. In this case, the destination end sends BDI packets to the source end through the backward path (if any) to notify the source end of the link failure. The defect cause reported by the destination end is dLOCV.

dTTSI_Mismatch/dTTSI_Mismerge

dTTSI_Mismatch/dTTSI_Mismerge is also a type of LSP defect that indicates that incorrect exchange has occurred on an intermediate LSP node. The incorrect exchange is due to the aging of the forwarding entry, which results in user data leaking out to other LSPs. This type of defect can be detected by checking the TTSI in the CV or FFD packets. When such a defect occurs, the destination end notifies the source end of the defect by sending BDI packets. The defect cause reported by the destination end is dTTSI_Mismatch/dTTSI_Mismerge.

dExcess

dExcess indicates that unexpected duplication causes more than five expected CV or FFD packets to be received within a period three times the interval for sending CV or FFD packets. After such a defect occurs, the destination end notifies the source end of the defect by sending BDI packets. The defect cause reported by the destination end is dExcess.

dUnknown

The CV or FFD check type and the sending frequency configured on the source and destination nodes do not match. After the auto protocol is enabled, first-packet triggering is not performed. These defects are considered unknown defects.

When these defects occur, the network management system is requested to check the lines and configurations. The defect causes cannot be found by MPLS OAM. Other measures need to be taken to locate the problems. For example, LSP ping and LSP traceroute are used to check whether the LSP connection is normal; also, the configurations on the source and destination nodes are checked (it is recommended to use the auto protocol function so that incorrect configurations can be avoided).

Although defect causes are unknown, the destination end still notifies the source end of the defect by sending BDI packets. The defect cause reported by the destination end is dUnknown.

Protection Switching

Protection switching was described earlier. The principles of protection switching are simple. The triggering of protection switching can be classified into the following types:

- **Signal fail**
Signal fail triggering refers to protection switching triggered by protocol signals. Signal fail triggering includes active/standby tunnel switching and switching triggered by the up/down message for checking tunnels after the basic check functions of MPLS OAM are configured.
- **External switching**
External switching triggering refers to protection switching triggered by running commands. External switching with priorities from high to low is as follows:
 - Clear: All the external switching commands are cleared.
 - Lockout of protection: Data flow is locked on the working LSP for transmission.
 - Forced switching: Data stream is forcibly transmitted on the protection LSP.
 - Manual switching: Data stream is manually switched to the working/protection LSP for transmission.

If signal fail triggering and external switching triggering occur at the same time, the priority of signal fail triggering is between forced switching and manual switching.

Hold-off

If the protection switching function is implemented at both the MPLS layer and the MPLS service layer (lower layer), the MPLS service layer must have a chance to restore services before protection switching is performed at the MPLS layer. Hold-off time is introduced to solve this problem. When a fault is detected at the MPLS service layer, switching is not performed immediately. Instead, the hold-off timer is started. If the MPLS layer is still faulty after the timer times out, switching is performed. Switching is performed provided that the working LSP is faulty and the other LSP is working properly.

Recovery Mode

The recovery modes are classified into two types: recovering and non-recovering. Protection switching is performed after OAM detects an LSP fault. When the LSP fault is rectified, the recovery mode is checked. If a user sets the recovery mode to recovering, the user data is switched over to the primary LSP. If the recovery mode is set to non-recovering, the user data is still transmitted on the protection path.

WTR

The Wait to Restore (WTR) is similar to the hold-off function. The WTR indicates that if the recovery mode is enabled, user data is switched back to the primary LSP only after the WTR period expires. The WTR is used to prevent switching jitter.

4 Typical Applications

4.1 Terminal Network Faults

Although MPLS OAM provides the check and protection functions, the fault location function is not the emphasis of MPLS OAM. MPLS OAM mainly provides fault monitoring, helps network administrators rectify faults, switches user data to the protection LSP when a defect occurs on the working LSP, and provides alarms. The network administrators need to choose a suitable way to solve a problem.

1. **MPLS ping:** is similar to the ping function on IP networks. The MPLS ping function is provided at the MPLS layer. Together with the auto protocol of MPLS OAM, the MPLS ping function helps check whether an LSP, for example, a static LSP, is established successfully.
2. **MPLS traceroute:** is also a diagnostic tool. The MPLS ping function can only check the connectivity to the peer end but cannot determine which segment is faulty. The MPLS traceroute function can check the connectivity, routes, rate, and time delay.

After an MPLS LSP fault is detected, an alarm is reported to the network management system. The alarm contains detailed information about the fault, including the incorrect TTSI, disconnection time, and defect type, which helps diagnose the fault.

4.2 Hierarchical OAM

The emphasis for OAM at different network layers varies. For a physical link, connectivity is emphasized. For the MPLS layer, the defects within the forwarding system, such as the disconnection caused by the aging of forwarding entries and incorrect exchanges, are emphasized. The OAM functions at different layers overlap in some parts, for example, a continuity check, but the check objects, methods, and granularity to be checked are different. The functions at different layers cannot replace each other (the connectivity at the bottom layer does not mean that the upper layer is reachable). Therefore, the OAM function of each system is required.

Hierarchical protection is a policy to enhance the reliability of the entire system and correct the connection defects. In hierarchical protection, different protection policies are implemented at different network layers. The best examples are RPR switching at the link layer and protection switching at the MPLS layer. They are both triggered by the defect detection results at their own layers and can minimize the service loss of their own layers when a connection defect occurs. In addition, the defect is rectified at its own layer so that the defect does not influence upper layers.

The prerequisite to hierarchical protection is that each network layer has OAM functions and the protection switching mechanism. Each layer performs switching after a defect occurs. However, not all the layers have both OAM and protection switching. Some layers have only OAM or the protection switching. In addition, a hierarchical OAM check requires higher processing performance of equipment and occupies greater bandwidth. Therefore, the cooperation of the bottom and upper layers is used.

- The bottom layer provides fast checking capability and a protection switching mechanism.
- The upper layer with optional detection tools provides protection switching capability.

In this mode, the protection capabilities of upper-layer applications can be specified. For example, in the PW model, MPLS OAM is implemented in tunnels and the PW layer provides protection switching capabilities. After a defect is detected in tunnels, the upper-layer applications, that is, PWs, are informed of the defect. The PWs that can be switched over are configured in advance. As a result, a relatively high return is achieved at a low cost.

4.3 Testing the Entire LSP

Fast reroute (FRR) also provides detection and protection mechanisms for the MPLS layer. Its domain, however, is limited to a link or a node. The protection domain of MPLS OAM is the entire link or node of an LSP.

5 Conclusion

MPLS OAM uses its own protocol mechanisms and is independent of the MPLS control plane. This makes MPLS OAM implementation closer to the lower layer, speeds up defect detection, and provides 99.999% availability. The hierarchical protection mechanism gives carries the highest return with the lowest cost. MPLS OAM facilitates the telecommunications services of IP networks.

6 References

List of references:

ITU-T Recommendation Y.1710(2002), "Requirements for OAM Functionality for MPLS Networks".

ITU-T Recommendation Y.1711(2004), "Operation & Maintenance mechanism for MPLS networks".

ITU-T Recommendation Y.1720(2003), "Protection switching for MPLS networks".

A Abbreviation

BDI	Backward Defect Indication
CV	Connectivity Verification
FDI	Forward Defect Indication
FRR	Fast Reroute
LSP	Label Switching Path
MPLS	Multiprotocol Label Switching
OAM	Operations and Maintenance
PHP	Penultimate Hop Popping
SDH	Synchronous Digital Hierarchy
TTSI	Trail Termination Source Identifier
RSVP	Resource Reservation Protocol
WTR	Wait to Restore